

ICNDT Operating Procedure OP19: ICNDT Multilateral Recognition Agreement

Executive Summary

Representatives of the members of the International Committee for Non-Destructive Testing (ICNDT) have recognised the need for a global Multilateral Agreement on Recognition of NDT Personnel Certification. The work of developing the ICNDT Multilateral Recognition Agreement (MRA), which is based upon compliance with ISO 9712, was entrusted to the ICNDT Working Group on Qualification and Certification (WG1). The implementation and operation of the ICNDT MRA is the responsibility of the ICNDT Certification Executive Committee (ICEC).

This document is a strategic level Operating Procedure as defined in Operating Procedure OP 18 and is subject to approval by the ICNDT Executive Committee (IEC) and the International Committee. The document describes (a) the objectives of the MRA, (b) the obligations of ICNDT members that are signatories to the MRA, (c) the criteria for Personnel Certification Bodies to become registered under the MRA and (d) the high-level processes by which the MRA is managed.

Disclaimer

ICNDT accepts no liability for the use of certification awarded by any PCB registered under the MRA. In accordance with ISO 9712 (*Non-destructive testing – Qualification and certification of NDT personnel*) the responsibility for the quality of NDT tests with the employer of the certificated person.

Iurisdiction

The ICNDT Multilateral Recognition Agreement is subject to the jurisdiction of Austria.

Revision and Approval Status

Issue / Draft	Date	Details	Status / Approval
Issue 1	15/05/2013	Previous issue	Approved by ICEC,
			PGP & GA
Issue 2	30/09/2015	Modified to improve clarity; requirement	Approved by ICEC,
		for additional information to be provided by	WG1, PGP & GA
		applicant PCB	
Revision 3	15/02/2017	Approval by PGP replaced with approval by	Approved by IEC
		the International Committee.	
		Issue 2 changed to Revision 3	
Revision 4	14/06/2018	Section 6.3 revised to clarify expectations of	Approved by ICEC and
		auditors' NDT expertise	IEC then by ICNDT GA
		Sections 6.1 and 6.4 revised to enhance the	on 14 June 2018
		review process and to have clarity.	
Revision 5	23/01/2020	Section 6.5 revised to require an annual	Agreed by ICEC and
		return from PCBs to confirm continuity of	IEC and approved by
		registration.	ICNDT Annual Meeting
		Section 6.7 revised to allow use of the	on 23 January 2020
		ICNDT MRA logo by registered PCBs	
Revision 6	28/05/2024	Edited for responsibility and updated for	Approved by General
		remote audit if necessary.	council 28-05-24
		Clarification of use of Logo.	
		Clarification of qualifications for auditors.	
		Clarification of obligations	

1 Scope

This Operating Procedure covers the operation of the ICNDT Multilateral Recognition Agreement (MRA). The Operating Procedure is supported by lower level Work Instructions (WI) under control of the ICNDT Certification Executive Committee (ICEC).

2 References

OP13	Use of ICNDT Logo
OP 18	ICNDT Certification Executive Committee
OP 20	Personnel Certification Body Conformity Assessment (PCB CA)
OP 24	Procedure for Complaints and Appeals Relating to ICEC Activities
ISO 9712	Non-destructive testing – Qualification and certification of NDT personnel
ISO/IEC 17011	Conformity assessment – General requirements for accreditation bodies accrediting conformity assessment bodies
ISO/IEC 17024	Conformity assessment – General requirements for bodies operating certification of persons
IAF MLA	Accreditation Body and Regional Accreditation Group Members of IAF
OP13	Use of ICNDT Logo
АТО	Authorised Training Organisation

Note 1. Any requirement for conformity with the above documents means conformity with the current edition (up to 12 months will be allowed between the issue of a revision and implementation of a referenced document).

3 Abbreviations

AB	Accreditation Body	
AQB	Authorised Qualification Body	
CA	Conformity Assessment	
EA	European co-operation for Accreditation (https://www.european-	
	accreditation.org/)	
IAF	International Accreditation Forum (https://www.iaf.nu/)	
ICEC	ICNDT Certification Executive Committee	

ICNDT International Committee for Non-Destructive Testing

IEC ICNDT Executive Committee
GA ICNDT General Assembly

MRA Multilateral Recognition Agreement

OP Operating Procedure

PCB Personnel Certification Body

PGPC ICNDT Policy & General Purposes Committee

WG1 ICNDT Working Group 1

WI Work Instruction

4 Multilateral Recognition Agreement

4.1 General Principles

The MRA (the Agreement) is in two parts, described as 'Schedule 1' and 'Schedule 2'. Schedule 1 comprises the list of national NDT societies / institutes (Parties) that are members of ICNDT and have signed the agreement, committing to supporting the objectives of the MRA. Schedule 2 comprises a list of personnel certification bodies (PCB) that meet the required criteria and are registered by ICNDT under the Agreement.

A PCB seeking registration under Schedule 2 must be proposed for registration by a Party to the MRA Schedule 1 or an ICNDT regional federation should perceived conflicts of interest occur. In some cases this may be academic, as many national societies are PCBs. But this need not be the case and there may be more than one PCB in a country.

4.2 Objectives

The objectives of the Agreement are to elevate standards and to harmonise NDT personnel certification operations of personnel certification bodies registered under the Agreement.

4.3 Administration of the Agreement

This MRA is administered as a series of agreements under the jurisdiction of Austrian law, and shall be administered by the ICNDT Certification Executive Committee (ICEC), which assigns the day to day responsibility for reviewing applications for PCB registration to the ICEC Secretary.

4.4 Complaints and Appeals

Any complaints or appeals concerning the implementation of the Agreement or registration of PCBs shall be dealt with under Operating Procedure OP 24.

5 Multilateral Recognition Agreement: Schedule 1

5.1 Obligations

Each Party to Schedule 1 of the MRA should:

- commit itself to the pursuance of the objectives of the MRA;
- promote the recognition and acceptance of certification issued by issued by MRA schedule 2 signatories
- assist other interested parties by giving a clear overview of the NDT personnel certification
 activities in its own country, including a description of the sectorial arrangements;
- provide other Parties with non-confidential information on the certification scheme(s) operated by PCBs registered under this Agreement;
- consult with and seek membership of the scheme and technical committees of NDT PCBs in its own country;
- indemnify ICNDT against liability for the Party's use or misuse of this MRA.
- Provide an annual National NDT Society report to regional federations & ICNDT in relation to national NDT certification capabilities.

5.2 Access to Schedule 1 of the MRA

Access to Schedule 1 of the MRA is available to any ICNDT member that has committed to accept the

obligations set out above.

5.3 Fees, Renewal and Termination

- There are no fees for Schedule 1 of the MRA and no requirement for renewal.
- If any of the obligations within any of the ICNDT procedures are proved to have been deliberately broken then ICNDT has the right to immediately suspend the Schedule 1 or Schedule 2 signatory from ICNDT MRA registration.
- Any Schedule 1 or Schedule 2 signatory which is "Suspended" from Schedule 1 will be removed from the register of Schedule 1 and schedule 2 members.
- If any of the obligations are proved to be broken, then the ICEC secretary will inform the ICEC committee and the ICNDT Chairman.
- If any obligations continue to be proven¹ to be broken then the ICNDT may exclude the PCB from both Schedule 1 and Schedule 2 registration and announce any such violations/suspensions via the ICNDT journal and on the ICNDT website.

NOTE

1 "Proven" will be enacted in accordance with ICNDT procedure OP24.

5.4 Publication of Schedule 1

The register of Parties to Schedule 1 of the MRA is available on the ICNDT website.

6 Multilateral Recognition Agreement: Schedule 2

6.1 Criteria for Registration

An NDT PCB seeking registration under this MRA shall:

- Be proposed for registration under Schedule 2 by a Party to this MRA that is a signatory to Schedule 1 (see notes 2a, 2b and 2c below); The registration proposal can be made by any MRA Schedule 1 signatory society and can also be proposed via an ICNDT regional federation should perceived conflicts of interest occur.
- Have confirmed their acceptance of the ICNDT Code of Practice for Personnel Certification Bodies set out in Appendix 1.
- Have provided information on the possible use of Authorised Qualification Bodies and sectorial arrangements and shall have a published code of ethics (see 6.2.3 below);
- Have been independently accredited (see 6.3 below);
- H ave provided evidence that the accreditation meets ICNDT requirements (see 6.4 below):
- Have remitted to the ICNDT the current initial application fee or the renewal fee if applicable.
- Provide an annual report to regional federations & ICNDT regarding PCB's / AQB's & ATO's activities and Schemes followed in represented countries.

Note 2a. If a Party to this MRA (a signatory listed in Schedule 1) is also a PCB, this shall not on its own be reason to refuse to propose other PCBs in the country concerned for registration.

- Note 2b. If the ICNDT member in the country concerned is not a Party to this MRA, an NDT PCB based in that country may be proposed for registration by any ICNDT member that is party to this MRA with the agreement with the national NDT Society (if one exists) of the applicant PCB.
- Note 2c. The Certification Executive Committee has the right, if it wishes, to ask the national NDT Society of the applicant's country for an opinion on the suitability of the applicant PCB.

6.2 Additional Information

ISO 9712 permits some freedom for a PCB (a) to establish one or more Authorised Qualification Bodies (AQB) under its control and (b) to set up sectorial arrangements to satisfy national needs. In addition, ISO 9712 requires a PCB to develop a code of ethics. Information is required on the way that the PCB implements these points both to check compliance with ISO 9712 requirements and to publicise details on the ICNDT website if registration is successful (see 6.7 below). Further details are in 6.2.1, 6.2.2 and 6.2.3 below.

Note 3. The requirement for this information was introduced in Issue 2 of this Operating Procedure.

PCBs registered under Issue 1 of this Operating Procedure will be required to provide the information on or before renewal of their registration (see 6.5 below).

6.2.1 Management Structure and Use of Authorised Qualification Bodies

The requirements for a PCB ('Certification Body' in ISO 9712) are given in clause 5.2 of the standard. Clause 5.2.2 c) states that the PCB "may delegate, under its direct responsibility, the detailed administration of qualification to authorized qualification bodies, to which it shall issue specifications and/or procedures covering facilities, personnel, calibration and control of NDT equipment, examination materials, specimens, conduct of examinations, examination grading, records, etc". The requirements for an Authorised Qualification Body (AQB) are given in clause 5.3 and Clause 5.3.2 notes that "If there are no authorized qualification bodies, the certification body shall fulfil the requirements of the qualification body".

The use or otherwise of one or more AQBs is acceptable for registration under the MRA but a PCB seeking or renewing registration shall provide information on the management structure of the PCB, including whether the PCB is part of the national society / institute or a separate legal entity and whether or not qualification is delegated to one or more AQBs. On successful registration, this information will be made available in the register entry on the ICNDT Website (see 6.7 below).

6.2.2 Definition of Sectors

'Sector' is defined in ISO 9712 as a "section of industry or technology where specialized NDT practices are used, requiring specific product-related knowledge, skill, equipment or training". It is noted further that "A sector can be interpreted to mean a product (welded products, castings) or an industry (aerospace, in-service testing)" and a reference is made to normative Annexe A which provides reference lists of product and industrial sectors without precluding the development of additional sectors to satisfy national needs.

A PCB seeking or renewing registration, or one already registered that is altering its sectorial arrangements, shall provide a definition of the sectors used and shall inform the ICEC Secretary of any changes during the period of registration. This information will be made available in the register entry

on the ICNDT Website (see 6.7 below). The PCB shall supply evidence of an annual surveillance audit which will be verified during application for renewal.

Note 4. The existence of different sectorial arrangements between PCBs shall not be used as a barrier to harmonisation. If certification awarded by a PCB under the ICNDT MRA does not fully cover a particular sector required, for example in another national jurisdiction, then this should be remedied by additional examination, not by a complete new certification. The PCB in the other national jurisdiction may require a certificate holder to undertake to abide by the code of ethics for that national jurisdiction (see 6.2.3 below).

6.2.3 Code of Ethics

Clause 5.2.2 k) of ISO 9712 requires that "The certification body shall require all candidates and certificate holders to give a signed or stamped undertaking to abide by a code of ethics which it shall develop for the purpose and publish." A PCB seeking or renewing registration shall provide a copy of its code of ethics which will be made available in the register entry on the ICNDT Website (see 6.7 below).

6.3 Accreditation

For registration under Schedule 2 of the MRA, the ICNDT requires evidence of independent accreditation in conformance with ISO/IEC 17024 and ISO 9712. This will usually be provided by an Accreditation Body (AB) meeting the following criteria:

- The AB is a shall be a member of an international body acceptable to ICNDT (see note 5);
- The accreditation process is judged satisfactory by ICNDT on the basis of a review of the Accreditation Body's Assessment Report;
- The Accreditation Body's Assessment Report demonstrates in the judgement of ICNDT that
 the assessment involved an assessor who has held NDT qualifications (for example ISO 9712
 certification Level 3 in at least one method or education in a degree which includes NDT
 courses and sufficient experience of auditing certification bodies to be able to assess
 compliance with ISO 9712 (see Notes 6 and 7 below).
- The ICEC reserves the right to request further evidence or audit should these be required as part of the application review process.
- Note 5. Accreditation Bodies which are members of the European co-operation for Accreditation (EA) or the International Accreditation Forum (IAF) meet this requirement.
- Note 6. It is reasonable to ask this of Accreditation Bodies because they are required to use "experts" who are defined in ISO 17011 as a "person assigned by an accreditation body to provide specific knowledge or expertise with respect to the scope of accreditation to be assessed".
- Note 7. As stated above, the ICNDT considers it good practice to include a qualified NDT assessor or an assessor with either ISO9712 Level 3 certification or equivalent knowledge. E.g. A degree in NDT or related applied science or engineering degree and previous NDT certification.. However, ICNDT recognises that PCBs do not control the makeup of the audit team but ICNDT will require documented evidence that the PCB has asked the audit organization to

provide at least one auditor with acceptable NDT knowledge. Further where suitable auditors cannot be found within a country, the ICNDT can nominate a suitably qualified/acceptable assessor to review the PCB's operating procedures.

- Note 8. ICNDT considers that in exceptional circumstances where governments impose travel restrictions due to internationally recognized events e.g. epidemics, remote audits may be accepted by the ICEC instead of the normal face to face audits. It shall be noted that this may only apply for re-registration to OP19 and not for the initial registration audit.
- Note 9. ICNDT encourage the PCBs to ask the accreditation body to include in their assessment report statements with regard to the independence of the training and certification aspects of the PCB, AQBs and any ATOs. In particular it is encouraged that, with the possible exception of the organization board, the management structure clearly separates training from certification with personnel not being permitted to hold positions in both the training and/or certification structure of the PCB without showing clear delineation/separation of activities in the PCB's organogram.

These separation rules are to apply to any person, regardless of job function, that could be active in the areas of an AQB's examination and training functions.

6.4 Assessment of Application

The application for registration shall include evidence that the independent accreditation of the PCB meets ICNDT requirements. This shall include but is not be limited to:

- A copy of the main quality management system documents;
- A certificate of accreditation from the national/international accreditation body;
- A document demonstrating the scope of accreditation. Where a remote audit has been undertaken as allowed in clause 6.3 this shall be noted in the audit report with an explanation of such activities.
- A copy of the most recent assessment report from the national/international accreditation body.
- Note 1. All copies of documents will be treated in confidence and will be returned or destroyed in the event of an unsuccessful application.
- Note 2. All documents shall be provided in the English language.
- Note 3. While not mandatory, it will be useful if an application is made shortly after assessment or re-assessment by the accreditation body. This will help to ensure (a) that the assessment report is up to date and (b) that a three-year renewal of MRA registration (see 6.5 below) is synchronized with a further re-assessment by the accreditation body.

Each application shall be assessed by a panel composed of ICEC members that, if necessary, may seek advice from other experts. The results of this assessment shall be fully recorded and available for audit if required.

If it is concluded that some or all of the ICNDT requirements are not met, ICNDT may offer an assessment under the ICNDT Personnel Certification Body Approval Scheme (see ICNDT OP 20). This

may be a complete conformity assessment or a limited assessment targeted at specific items. The cost of this assessment will be paid for by the applicant.

The ICEC or the review panel has authority to make further enquiries about the performance history of the applicant PCB or its directors. If these enquiries raise doubts about the applicant PCB, then a specific conformity assessment audit may be deemed necessary or the application may be refused. There is no right of appeal against such decisions.

6.5 Renewal and Continuity of Registration

Registration under the ICNDT MRA is valid for three years after which the PCB may apply for renewal of an initial or renewed registration. The criteria for renewal are the same as for initial registration.

Within the three-year period before renewal, registration shall be maintained subject to annual submission of a return to the ICEC Secretary. The details required for the annual return are specified in OP19 Form C, but typically the requirements are:

 Continued validity of ICNDT recognised accreditation or ICNDT certificated conformity of the NDT PCB demonstrating compliance with the standards referred to in the PCB's scope of accreditation or ICNDT certificate of conformity;

and

- Provision of the latest assessment report from the accreditation body confirming compliance with the standards referred to in the PCB's scope of accreditation;
- Provision of any major revisions to the quality management system documentation;
- Provision of any changes to the scope in which certification is offered including methods/techniques, sectors and levels;
- Provision of any changes in the list of AQBs (if any) and reports of any AQB audits including witness assessment;
- Where accreditation is based on an ICNDT assessment of conformity under OP20, ICNDT reserves
 the right to carry out a surveillance audit during the three-year renewal period at cost to the
 registrant;
- Continued compliance with the ICNDT Code of Practice for NDT PCBs (see Appendix 1).

The ICEC will review the annual submission and decide whether continued registration is adequately supported. An NDT PCB failing to fulfil the conditions for continued registration shall be de-registered on the authority of the ICEC. A deregistered PCB shall have access to the complaints and appeals process detailed in OP 24.

6.6 Fees

The fees for registration comprise:

- An initial application fee, which could include;
 - Full Site and / or remote digital audit
 - Part Remote digital audit pending audit scope
- A three-year renewal fee, which could include;

- Full Site and / or remote digital audit
- Part Remote digital audit pending audit scope

See OP20 for the fees relating to a full or part conformity assessment (see 6.4 above).

The fees will be proposed by the ICEC and confirmed at the Annual General Meeting of ICNDT. A list of the current fees, updated annually, is available on the ICNDT website.

6.7 Publication of Schedule 2

A certificate is provided to the PCB to acknowledge registration (see Figure 1) and the list of PCBs registered under Schedule 2 of the MRA is available on the ICNDT website at https://www.icndt.org/news/5c32f4b8f6a695d937e5d50dbee6bfc89e0cd13f/.Additional information, where available, (see 6.2 above) is provided in an appendix to the register on the website.

The registered NDT PCB may announce that holders of its certificates gained under these arrangements "are certificated by a body which is registered by the ICNDT as meeting the requirements of Standards and Technical Documents referenced in its schedule of Accredited Scopeor scope of its ICNDT Certificate of Conformity".

A PCB registered under Schedule 2 of the MRA may use the ICNDT MRA logo as defined in Figure 4 of Operating Procedure OP13:

- When advertising their certification;
- On certificates relating to the certification issued during the period of valid registration indicating compliance with the standards referred to in the PCB's scope of accreditation or ICNDT certificate of conformity, including on certificates issued following the renewal process permitted in ISO 9712. PCBs are advised to check that such use of this logo is acceptable to their accreditation body.

6.8 Process for Application for Initial Registration and Renewal of Registration

The process map for application and renewal of registration is shown in Figure 2. The renewal process is similar to the initial application except that the ICEC are able to take cognisance of information provided by the initial application and any following renewals.



ICNDT Multilateral Recognition Agreement (MRA) for Personnel Certification in NDT Certificate of Registration

<Name of PCB>

The above named NDT Personnel Certification Body (PCB) has satisfied all of the eligibility criteria for registration under the ICNDT Multilateral Recognition Agreement (MRA) for PCBs, including having undertaken to abide by the ICNDT Code of Practice, and has been nominated and accepted for registration within the terms of the Agreement signed by those Members of the International Committee for NDT (ICNDT) listed in Schedule 1 to the Agreement.

The Personnel Certification Body may therefore announce that holders of its certificates issued under these arrangements are certificated by a PCB which is registered by the ICNDT as meeting the requirements of the applicable Standards (including ISO 9712:2012 and ISO/IEC 17024:2012) and Technical Documents referenced in the schedule of conformity (scope) issued by the accreditor and/or the

A copy of the MRA, including a list of the signatories and a schedule of those certification bodies satisfying the criteria for registration, is available at www.icndt.org and from all parties that are signatory to the Agreement.

All signatories to the Agreement, and all PCBs registered under the Agreement, are bound to promote the recognition and acceptance of the certificates issued by all other ICNDT Registered NDT Personnel Certification Bodies.

Signed for ICNDT

Initial registration date: <date> This certificate number: <#> Issue date: <date> Expiry date: <date>

Chairman

Disclaimer: ICNDT accepts no liability for the use of certification awarded by PCB registered under the MRA. In accordance with ISO 9712 the responsibility for the quality of NDT rests with the employer of the

Juriediction: The ICNDT Multilateral Recognition Agreement is subject to the jurisdiction of Austria.

Figure 1: Example of a certificate

Chair: S K Babu Email: chairman@icndt.org

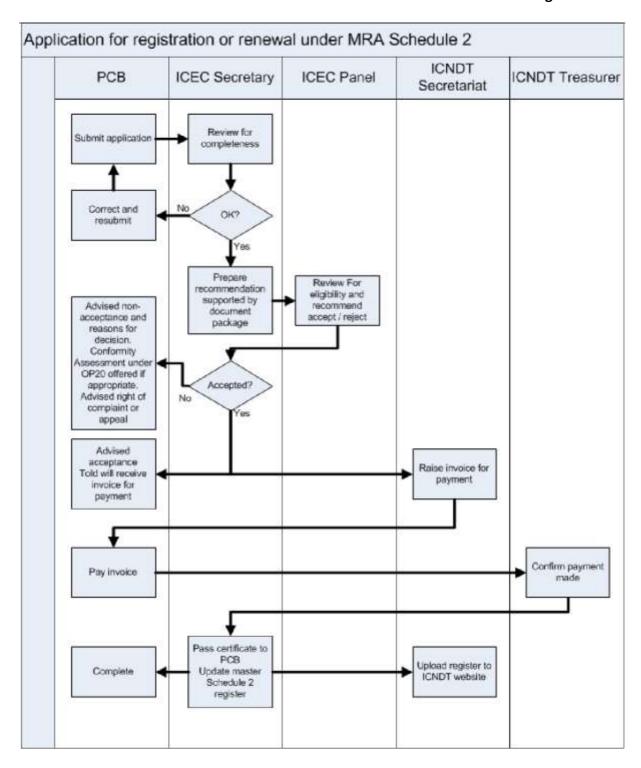


Figure 2 Process map for application and renewal of registration

Appendix 1: Code of Practice for Personnel Certification Bodies

NDT personnel certification bodies (PCBs) seeking ICNDT registration under the ICNDT Multilateral Agreement on Recognition of Certification are obliged to sign an undertaking to comply with this Code of Practice. *Inter alia*, they shall:

- Maintain compliance with the standard(s) and specification(s) detailed on their certificate of accreditation or the ICNDT certificate of conformity, notifying the ICNDT Certification Executive Committee (ICEC) of any change in status, or in the standards with which the certification scheme that they operate to complies;
- 2. Require all candidates and certificate holders to give a signed or stamped undertaking to abide by a code of ethics which they shall develop for the purpose and publish;
- 3. Promote recognition and acceptance in their own country of the certificates of conformance issued by other NDT PCBs registered under the ICNDT MRA;
- 4. Keep confidential all examination material, including examination questions and specimens, in secure conditions with strictly controlled access only to authorized individuals;
- 5. Conduct their business in a responsible manner and utilize fair and equitable practices in dealing with clients and candidates;
- 6. Perform their professional duties with proper regard for the physical environment and the safety, health and well-being of certificate holders and candidates for certification;
- 7. Protect to the fullest extent possible, consistent with the wellbeing of the public and the provisions of this Code of Practice, any information given to them in confidence by an employer of certificated NDT personnel, candidates and certificate holders, or members of the public;
- 8. Avoid conflicts of interest with employers of certificated NDT personnel or candidates, but when unavoidable, forthwith disclose the circumstances to the employer or candidate;
- 9. Not falsify nor permit misrepresentation of their Accreditation, ICNDT certificate of conformity or certificate of registration under the ICNDT MRA;
- 10. Refrain from making unjustified statements or from performing unethical acts which would discredit the NDT profession or the ICNDT;
- 11. Immediately report to the ICEC any perceived violation(s) of this Code of Practice by any party;
- 12. Accept the right of the ICNDT, and provide unhindered access to a nominated representative of the ICNDT, to investigate any alleged infringements of this Code of Practice;
- 13. Indemnify ICNDT against liability for the PCB's use or misuse of the ICNDT MRA and/or PCB CA systems which are administered as a series of signed agreements under the jurisdiction of Austrian law.
- 14. Personnel Certification Bodies are only permitted to use the ICNDT LOGO on certificates if authorized to do so. PCBs wishing to include the ICNDT logo must first supply the ICEC secretary with a full description of the intended use before proceeding. Once authorized by ICEC the appropriate logo will be supplied by the ICEC secretary for this inclusion.
- 15. Use of the ICNDT logo without prior permission will be considered a serious breach of ICNDT Code of Practice.